



## THE ROCKEFELLER UNIVERSITY HOSPITAL

### CENTER FOR CLINICAL AND TRANSLATIONAL SCIENCE

**Submission Deadline:** 5:00pm, Tuesday September 7, 2021  
**Funding Range:** Each funded up to \$25,000  
**Funding target start date:** October 18, 2021

### **OVERVIEW and ELIGIBILITY**

The Rockefeller University Center for Clinical and Translational Science (RUCCTS), and the Shapiro-Silverberg Fund for the Advancement of Translational Research solicit requests for pilot projects to support new and innovative clinical and translational studies. These programs may also support studies involving new collaborations that advance clinical or translational research. Projects from Clinical Scholars and from basic investigators that extend their studies to clinical or translational research are particularly welcome as are projects engaging community partners. Translational proposals from community researchers engaging Rockefeller scientists are also welcome. **Priority will be given to projects that propose use of the The Rockefeller University Hospital inpatient unit and outpatient research center.** In the event a trainee submits a proposal, the Background and Significance section should explain how the supported research will advance the career and training goals of that individual. **A second year of funding is possible, but will be determined after a progress report for the first year is submitted and the funding will be competitive with new submissions.** Approximately **10** awards will be made from this solicitation. Up to **2** awards may be made in the area of community-engaged research, and up to **8 additional** awards in basic and translational research. **Up to 2 pilot project applications per laboratory will be considered for review. A maximum of \$50,000 will be awarded per laboratory. If your laboratory received 2 pilot awards in round 1 this year your laboratory is not eligible to apply for 2 additional awards this round. A statement regarding the potential public health impact of the research is required in all proposals.**

**PREVIOUSLY FUNDED PILOT PROJECTS:** PIs of Pilot Projects funded in 2020 are required to resubmit a full application if they wish to be considered for a second year of funding in 2021. In addition to the information listed above, these applications must include a summary of progress during the first year and a description of efforts (planned or already made) to obtain external funding to continue the project.

### **FUNDING and EXPENDITURE**

A maximum of **\$25,000** in direct costs can be requested. Funding may be used to support other than personnel costs such as materials, laboratory supplies, assays, animal costs, consultants, recruitment costs, participant compensation, domestic conference travel, etc. Funding cannot be used to support salary, time/effort or institutional indirect costs. **Up to 2 pilot project applications per laboratory will be considered for review. A maximum of \$50,000 will be awarded per laboratory per year.**

### **HOW TO APPLY**

**Applications must be received on or before 5:00 PM, ET, on September 7, 2021. All applications are to be uploaded to the pilot project online submission system.** You can access the system at <https://pilotprojects.rockefeller.edu>. Please note you will need to register in order to submit your application online. Paper and email submissions will not be accepted.

A confirmation e-mail will be sent when your submission is successfully received.

## **CONTENT AND FORM OF APPLICATION**

### **FORMAT SPECIFICATIONS**

Follow format and font specifications as outlined below. Applications that do not follow instructions will be deemed non-responsive to this funding announcement.

- Use Arial typeface and a font size of 11 point only
- Use one-half inch margins (top, bottom, left, and right) for all pages of the RFA
- Place last, first name in the header of your application

**No more than 6 pages** (Pilot Project Narrative) for sections 3-7, the application should contain the following: ***(Applications longer than 6 pages will be deemed non-responsive to this Funding Opportunity Announcement)***

1. Abstract (no more than one paragraph in length included with the project narrative)
2. Public Health Impact Statement (no more than 400 characters in length)
3. Specific Aims
4. Background and Significance
5. Preliminary Studies, if relevant
6. Research Design and Methods
7. Project Timeline (Include with Pilot Project Narrative upload)
8. Literature Citations (Bibliography & References Cited)
9. Budget and budget narrative (budget justification)
10. Biographical sketches (upload as one combined pdf)
11. Letter(s) of collaboration/support
12. Letter of commitment from partnering faculty/institution (if applicable)
13. Use of Human Subjects (if applicable upload in Section 5)
14. Use of Vertebrate Animals (if applicable upload in Section 5)

### **ABSTRACT**

The application should include a brief abstract. That abstract should contain an explanation of how the proposed project will accelerate the development of a clinical or translational project and how the proposed research will address an issue of significance to human health and what impact it may have on population health. **Your abstract should be no longer than 1500 characters (including spaces).**

Although this submission is based on key elements of the PHS 398 form, the entire application should be brief, no longer than **6 pages (items 3-7)**. If the study involves a design where statistical methods are used to determine the number of subjects that need to be studied, that statistical information should be included as a part of Research Design and Methods but will not count towards the 6-page length.

Applicants submitting research proposals including human subjects research (including samples-only research) are encouraged to consult the IRB x8411, and the Clinical Research Support Office x8408 for at least preliminary discussions about feasibility and protocol design. **If a proposal involves human-subjects please complete the FOR HUMAN SUBJECT PROPOSALS section below. If the proposal includes vertebrate animals, the four points detailed below should be included but will not count towards the 6-page length.** Plans to use core resources of the Center for Clinical and Translational Science are encouraged and should be explained in Research Design and Methods, if relevant.

## **FOR HUMAN SUBJECT PROPOSALS**

If human subjects are involved in the pilot project you are proposing, in addition to the items above, please include the following:

- Protection of Human Subjects section that clearly describes the risk, protections, benefits and importance of the knowledge to be gained by the activities outlined in your pilot project application
- Inclusion of Individuals Across the Lifespan- please include in your response the scientific/ethical rationale for age range of participants, expertise and experience working with included age groups. For more information on this requirement please click [here](#)
- Inclusion Plan for Women, Minorities and Children
- Targeted Enrollment Table or Inclusion Data Record (IDR) –See Pilot project submission system for form download or download the form [here](#)
- Data and Safety and Monitoring plan (DSMP) if applicable

## **FOR PROPOSALS REQUESTING THE USE OF VETEBRATE ANIMALS**

If vertebrate animals are involved in the pilot project you are proposing, please address each of the four points below.

If all or part of the proposed research involving vertebrate animals will take place at alternate sites (such as project/performance or collaborating sites), identify those sites and describe the activities at those locations.

Although no specific page limitation applies to this section of the application, be succinct. Failure to address the following five points will result in the application being designated as incomplete and will be grounds for The Rockefeller University Center for Clinical and Translational Science to defer the application from review.

The five points are as follows:

### **1. Description of Procedures (Vertebrate Animals Section)**

Provide a concise description of the proposed procedures to be used that involve live vertebrate animals. Identify the species, strains, ages, sex, and total number of animals by species to be used. If dogs or cats are proposed, provide the source of the animals.

### **2. Justifications (Vertebrate Animals Section).**

Provide justification that the species are appropriate for the proposed research. Explain why the research goals cannot be accomplished using an alternative model (e.g., computational, human, invertebrate, in vitro).

### **3. Minimization of Pain and Distress (Vertebrate Animals Section)**

Describe the interventions to minimize discomfort, distress, pain, and injury. These include analgesia, anesthesia, sedation, palliative care, and humane endpoints.

### **4. Method of Euthanasia (Cover Page Supplement / PHS Fellowship Supplemental Form)**

Provide a justification for methods of euthanasia that are not consistent with the American Veterinary Medical Association (AVMA) Guidelines for the Euthanasia of Animals. If answer is “No” to the question “Is method

consistent with AVMA guidelines?”, describe the method and provide scientific justification in the text field provided.

If the involvement of animals is indefinite, provide an explanation and indicate when it is anticipated that animals will be used.

### **FOR COMMUNITY- ENGAGED RESEARCH PROPOSALS**

The Rockefeller University Center for Clinical and Translational Science (RUCCTS) aims to increase access to research in communities by supporting community/academic research partnerships and collaborations that are both relevant and responsive to the health needs of the community. Research projects must be designed with input from the community, to enhance practical as well as theoretical understanding of community health issues, and include creative strategies for addressing community-identified problems by bringing together academic and community partners. In addition to the content and form of the application above, proposals should include a brief description of the problem under investigation as identified with a community partner, provide a description of procedures for conducting the community-based research study and include a timeline. Proposals should describe the importance and significance of the results or findings that are likely from the research and its benefit to the community. The proposal should outline plans for publication and presentation of the results once the project is completed.

### **REVIEW CRITERIA**

Projects will be evaluated on the basis of scientific and technical merit and clinical and translational impact, as well as the potential of the research to advance the career of a trainee. **Priority will be given to projects that propose use of The Rockefeller University Hospital inpatient unit and outpatient research center.** The applications will be reviewed by the Oversight of Pilot and Collaborative Translational and Clinical Studies subcommittee of the Advisory Committee for Clinical and Translational Science (ACCTS) and presented to the ACCTS for final determination of funding. Once a project is evaluated for its scientific and technical content and recommended for funding, funding will be contingent on the investigator obtaining ACCTS and IRB approval for human subject’s protocols and ACCTS and IACUC approval for studies involving vertebrate animals. **For community-engaged research projects** applicants must provide a letter of commitment from the partnering faculty/institution. Proposals in this category must incorporate community involvement in the design and, where appropriate, focus on having a positive health impact on the participating community.

**Up to 2 pilot projects per laboratory will be considered for review and a maximum of \$50,000 will be awarded per laboratory per year.**

### **AWARD ANNOUNCEMENT**

Awards will be announced by October 18, 2021.

### **TERMS OF AWARD**

Prior to award, applicants will be informed of the applicable terms and conditions of award for pilot projects. **Applicants awarded pilot projects will be expected to expend all of the funds before October 17, 2022.** In special circumstances, individual requests to extend the funding will be considered on a case-by-case basis.

### **INQUIRIES**

Address inquiries to Maija Neville-Williams, Administrative Director at [mwilliams@rockefeller.edu](mailto:mwilliams@rockefeller.edu) (212) 327-8501.

**Please share this announcement with Rockefeller University faculty colleagues who may be interested in submitting a proposal.**